

14230 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

2701P

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith for filing is a Patent Application claiming under 35 USC 119(e) the benefit of provisional patent application serial no. 60/389,956 filed June 20, 2002, in the name of **Ka Shun Kevin FUNG**, entitled **Method and System for Managing Credit-Related and Exchange Rate-Related Risk**, for a(n):

- (X) Original Patent Application.
 () Continuing Application (prior application not abandoned):
 () Continuation () Divisional () Continuation-in-part (CIP)
 of prior application No: _____ Filed on: _____.

Enclosed are:

- (X) Specification: 74 Total Pages.
 (X) Drawing(s): 8 Total Sheets.
 (X) Combined Declaration and Power of Attorney:
 (X) Newly Executed (original or copy)
 () Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
 () Assignment Papers (cover sheet and document(s)).
 () Nonpublication Request under 35 USC 122(b)(2)(B)(i).
 (X) Information Disclosure Statement and Form PTO-1449. (X) Copies of (6) six IDS Citations.
 (X) Return Receipt Postcard.
 () Other:

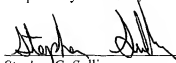
CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	46	26	\$9.00	\$ 234.00
Independent Claims	9	6	\$42.00	\$ 252.00
Multiple Dependent Claims (if applicable)				\$0.00
Basic Filing Fee				\$375.00
Total Filing Fee				\$ 861.00

Payment of Fees:

- Check no. 5841 in the amount of \$ 861.00 is enclosed for payment of Filing Fees(s).
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Customer No. **29141**
 Sawyer Law Group LLP
 P.O. Box 51418
 Palo Alto, CA 94303
 Tel: (650) 493-4540
 Fax: (650) 493-4549

Respectfully submitted,


 Stephen G. Sullivan
 Sawyer Law Group LLP
 Attorney for Applicant(s)
 Reg. No. 38,329

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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17535 U.S. PTO
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